



Data-driven \hat{q} in a hard-soft factorized parton energy loss approach

Tianyu Dai, Jean-Francois Paquet, Steffen Bass

Duke University

March 29th, 2023

Outline

- Hard-soft factorized parton energy loss
- Calculation using pQCD transport coefficients
- Data-driven analysis of parton transport properties



Weakly-coupled effective kinetic formalism



- Weakly-coupled: perturbative parton-medium interactions
- Effective: quarks and gluons are considered as quasi-particles
- Kinetic: dynamics of quasi-particles are described by transport equations



Hard-soft factorization of parton energy loss





Interactions with the medium:

- Large number of soft interactions
- Rare hard scatterings

Frequent soft interactions can be treated stochastically as diffusion process. Parton energy loss factorized as **hard interactions + diffusion process**.

Benefits of the hard-soft factorization

- Non-perturbative effects absorbed in soft transport coefficients.
- Soft transport coefficients can be constrained from measurements.
- Stochastic description is numerically more efficient.
- Can be extended to next-to-leading order of parton-medium interaction.

Hard-soft factorization of parton energy loss





Soft interactions – drag and diffusion

Number and identity preserving soft interactions are described stochastically with drag and diffusion.

$$C^{\text{diff}}[f] = -\frac{\partial}{\partial p^{i}} \left[\eta_{D}(p) p^{i} f(p) \right] - \frac{1}{2} \frac{\partial^{2}}{\partial p^{i} \partial p^{j}} \left[\left(\hat{p}^{i} \hat{p}^{j} \hat{q}_{L}(p) + \frac{1}{2} \left(\delta^{ij} - \hat{p}^{i} \hat{p}^{j} \right) \hat{q}(p) \right) f(p) \right]$$

$$\hat{q}_{pQCD} \equiv \frac{d}{dt} \langle (\Delta p_{\perp})^{2} \rangle = \frac{g^{2} C_{R} T m_{D}^{2}}{4\pi} \ln \left[1 + \left(\frac{\mu \tilde{q}_{\perp}}{m_{D}} \right)^{2} \right]$$

$$\hat{q}_{L,pQCD}^{\text{elas}} \equiv \frac{d}{dt} \left\langle (\Delta p_{L}^{\text{elas}})^{2} \right\rangle = \frac{g^{2} C_{R} T M_{\infty}^{2}}{4\pi} \ln \left[1 + \left(\frac{\mu \tilde{q}_{\perp}}{M_{\infty}} \right)^{2} \right]$$

$$\hat{q}_{L,pQCD}^{\text{inel}} \equiv \frac{d}{dt} \left\langle (\Delta p_{L}^{\text{inel}})^{2} \right\rangle = \frac{(2 - \ln 2)g^{4} C_{R} C_{A} T^{2} \mu_{\omega}}{4\pi^{3}}$$

$$\eta_{D}(p) = \frac{\hat{q}_{L}}{2Tp} + \frac{1}{2p^{2}} (\hat{q} - 2\hat{q}_{L})$$
Elastic interactions:
$$\tilde{q}_{\perp}$$
Diffusion process:
Langevin model

o Include both elastic and inelastic soft interactions.o Treated with Langevin model.





Brick test: weak coupling and beyond

We compare: collison rate treatment v.s. stochastic treatment We use: pure glue medium; screened matrix elements for collision rates We plot: energy distribution of a hard gluon propagating in a static medium



Elastic interactions:

Inelastic interactions:

Diffusion process:

Langevin model

Λ

(u)

 \tilde{q}_{\perp}

 $\mu_{\tilde{q}_1}$

Estimation of Q_0 in different collision systems



 Q_0 : switch between high virtuality stage to low virtuality stage.

k_T distribution

- Medium-induced emissions: integration of \hat{q} along the path.
- Vacuum emission: k_T much larger than that accumulated in collisions during the formation.

Rough estimation of Q_0 : (assuming the medium evolves as conformal Bjorken expansion)

$$Q_0^2 \sim \langle k_T^2 \rangle(\tau_f, \tau_0) = \int_{\tau_0}^{\tau_f} d\tau \hat{q}(\tau)$$
$$\approx \frac{1}{3} \left[\tau T^3\right] \left[\frac{\hat{q}(T)}{T^3}\right] \int_{T_f}^{T_0} \frac{dT'}{T'}$$
$$= \frac{1}{3} \left[\tau T^3\right] \left[\frac{\hat{q}(T)}{T^3}\right] \ln(T_0/T_f).$$
$$\sim \tau T^3.$$

 \circ Introduce parameter: $Q_0^2 \sim \tilde{Q}_0 \cdot \tau_{hydro} T_0^3$



| collision system | $\tau_{hydro} \; ({\rm fm/c})$ | $T_0 \; (\text{GeV})$ | $	au_{hydro}T_0^3 \; ({\rm GeV}^2)$ |
|-------------------------|--------------------------------|-----------------------|-------------------------------------|
| Au+Au, 200 GeV, 0-10% | 0.5 | 0.41 | 0.17 |
| Au+Au, 200 GeV, 20-30% | 0.5 | 0.37 | 0.13 |
| Au+Au, 200 GeV, 40-50% | 0.5 | 0.35 | 0.11 |
| Pb+Pb, 2760 GeV, 0-5% | 1.2 | 0.38 | 0.33 |
| Pb+Pb, 2760 GeV, 30-40% | 1.2 | 0.34 | 0.24 |

Model output using perturbation theory

Experimental measurement:

$$R_{AA}(\eta, p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA}}{d\eta dp_T} / \frac{dN_{pp}}{d\eta dp_T}$$

to quantify the effect of QGP.

Medium evolution: hydrodynamic simulation High virtuality parton: DGLAP High energy parton: hard-soft factorized model

Hard-soft factorized model in JETSCAPE:o Perturbative soft transport coefficients.

• Difficult to tune multiple parameters to match multiple measurements at the same time.



03/29/2023

Bayesian model-to-data comparison





Posterior distribution of model parameters



Parameterize the soft sector

soft sector transport coefficients parametrized in terms of β_{\perp} , β_{\parallel} :

$$\hat{q}(\boldsymbol{\beta}_{\perp}, T^*) = \hat{q}_{pQCD}(T^*) \left(1 + \boldsymbol{\beta}_{\perp} \frac{\Lambda_{QCD}}{T}\right)$$
$$\hat{q}_L(\boldsymbol{\beta}_{\parallel}, T^*) = \hat{q}_{L,pQCD}(T^*) \left(1 + \boldsymbol{\beta}_{\parallel} \frac{\Lambda_{QCD}}{T}\right)$$

coupling constant used in the soft sector is regulated by T^* :

$$\alpha_{s,\text{soft}}(T^*) = \frac{g_{\text{soft}}^2(T^*)}{4\pi} = \frac{4\pi}{9} \frac{1}{\log\left[\left(\frac{2\pi \max(T, T^*)}{\Lambda_{\text{QCD}}}\right)^2\right]}$$

 $ilde{\mathsf{Q}}_{0}$: fixes the virtuality separation scale $\mathrm{Q}_{0}^{2} \sim ilde{\mathsf{Q}}_{0} \cdot au_{\mathrm{hydro}} T_{0}^{3}$

Parameterized transport coefficients reproduce the pQCD calculation at large energy scale.

| Parameter | Min | Max |
|--------------------------|------|------|
| $\alpha^{inel}_{s,hard}$ | 0.1 | 0.4 |
| Τ* | 0.16 | 0.5 |
| β_{\perp} | -0.8 | 2 |
| β _{ll} | -0.8 | 2 |
| \widetilde{Q}_{0} | 6.8 | 20.6 |



Experimental data - posterior

Constrain model parameters using experimental data: Au+Au ($\sqrt{s_{NN}} =$ 200 GeV) and Pb+Pb ($\sqrt{s_{NN}} =$ 2760 GeV) at different centralities.

The posterior distribution constrained using Bayesian model-to-data comparison:

- R_{AA} is not sensitive to β_{\perp} : no constrain on β_{\perp} . • MAP is $\beta_{\parallel} = 0.95$.
- Differs from pQCD assumption: $\beta_{\parallel} = 0$.
- Non-perturbative effects in soft interactions.
- \circ β_{\parallel} correlated to T^* : need additional observables to disambiguate.



0.43





03/29/2023

Real data – observable emulation





Model-to-data comparison constrain the large prior range to a small posterior range close to the experimental observation.

Predict experimental measurement



Apply the posterior model parameters to calculate new observable: Au + Au collisions, 40-50% centrality.

Constrained model parameters can describe data not used in the analysis.



Properties of parton transport





Estimate the temperature dependence of the soft transport coefficients using the posterior of model parameters:

- Large prior range is constrained to small posterior range.
- \circ Constrained soft \hat{q}_L/T^3 decreases slowly as the temperature increase.
- Above pQCD value: non-perturbative effects.

Properties of parton transport



Interactions with energy transfer $\omega < 4T$.

average energy loss per unit length of a parton traversing the QGP:

• calibration results show similar trends as the pQCD calculation



Conclusion & Outlook

- Factorize the soft interactions out as a drag and diffusion process.
- Bayesian model-to-data comparison to constrain drag and diffusion coefficients.
- Quantify the non-perturbative effects in soft interactions.

- Add more features to the hard-soft factorized model (e.g. include finite-size effect).
- More flexible parameterization of soft transport coefficients.
- o Compare with more observables.

Backup Slides

Emulate the Monte Carlo model



 Run hard-soft factorized model in JETSCAPE framework on the design points.

0.8

1.0

- The computationally expensive model requires a fast surrogate.
- The results on design points cover the data points.
- Design points should be enough for the emulator.



JETSCAPE framework

Parton energy loss model





- A software framework to simulate the whole process of heavy ion collisions.
- A modular-based Monte Carlo event generator.
- An open-source package: <u>https://github.com/JETSCAPE/JETSCAPE</u>

Parton energy loss formalisms



Interference between neighboring scattering centers

- single hard scattering approximation
- multiple soft scattering approximation

Treatment of the underlying plasma

- static scattering centers
- dynamical entities



Figure: A typical diagram calculated using multiple soft scattering approximation.

- Strongly-coupled QGP: a quasi-particle description may not be justified
- Hard interactions: smaller non-perturbative effects
- Soft interactions: largest non-perturbative effects

Hard 1 \leftrightarrow 2 Interactions - Large ω Interactions

Multiple soft interactions with the plasma induce the collinear radiation of a parton of energy ω .

Soft scatterings are resummed and LPM effect is taken into account.

 $\circ \; \omega > \mu_{\omega}$, $\mu_{\omega} \lesssim {\sf T}$

- Described with emission rates (obtained from AMY integral equations)
- $\circ~$ Leading order calculation



(p, 0)



 $(p-\omega,-\boldsymbol{q}_{\perp})$

Hard 2 \leftrightarrow 2 Interactions

- Leading order vacuum pQCD matrix elements
- Keep to $\mathcal{O}(\frac{\mathsf{T}}{\mathsf{p}})$





Splitting approximation:





Steffen A. Bass (Duke University)

Theory E

When can we use diffusion?



Stochastic description of soft interactions

- Absorb non-perturbative effects
- \circ Numerically more efficient
- o Data-driven constraining

In pQCD,
$$\mu_{\omega} \leq T$$
, $gT < \mu_{\tilde{q}_{\perp}} < T$.

Beyond pQCD, how to choose the cutoffs?

Range of the Fokker-Planck equation applicability

Expand the Boltzmann equation:

$$\partial_t f(\mathbf{p}, t) = \langle \omega \rangle f^{(1,0)}(\mathbf{p}, t) + \frac{1}{2} \langle \omega^2 \rangle f^{(2,0)}(\mathbf{p}, t) + \frac{1}{6} \langle \omega^3 \rangle f^{(3,0)}(\mathbf{p}, t) + \dots,$$

where $\langle\omega^k\rangle=\int d\omega\omega^k\frac{d\Gamma}{d\omega}$ For a valid Fokker-Planck description, we expect

$$\mathcal{R} = \frac{\frac{1}{6} \langle \omega^3 \rangle f_{\mathsf{FP}}^{(3,0)}(\mathsf{p},\mathsf{t})}{\frac{1}{2} \langle \omega^2 \rangle f_{\mathsf{FP}}^{(2,0)}(\mathsf{p},\mathsf{t})} \ll 1$$

 $S \ll 1$, valid stochastic description.

Define the scale (rough estimation):





Numerical implementation in JETSCAPE



 $03/20/21^{23}$ We only track hard partons with $p > p_{cut}$ teffen A. Bass (Duke University)

Hard-soft factorized parton energy loss model

Transport of a high energy particle in QGP can be described using a Boltzmann function:

 $(\partial_t + \vec{v} \cdot \nabla_x) f^a(\vec{p}, \vec{x}, t) = -C[f]$

$$C[\mathbf{f}] = \int d^3k [\omega(p+k,k)f(p+k) - \omega(p,k)f(p)]$$

Boltzmann function is linearized for high energy particles.

For small momentum transfer, Boltzmann equation reduce to Fokker-Planck equation:

$$C^{diff}[f] = -\frac{\partial}{\partial p^{i}} [\eta_{D}(p)p^{i}f(p)] - \frac{1}{2} \frac{\partial^{2}}{\partial p^{i}\partial p^{j}} \left[\left(\hat{p}^{i}\hat{p}^{j}\hat{q}_{L}(p) + \frac{1}{2} \left(\delta^{ij} - \hat{p}^{i}\hat{p}^{j} \right) \hat{q}(p) \right) f(p) \right]$$

Soft transport coefficients: η_{D} , \hat{q}_{L} , \hat{q} .

Fokker-Planck equation can be realized using Langevin model:

$$\frac{\Delta x}{\Delta t} = \frac{p}{E'},$$

$$\frac{\Delta p}{\Delta t} = -\eta_D p + F^{\text{thermal}}(t).$$



AMY resumed integral

$$\begin{split} \frac{d\Gamma(p,\omega)}{d\omega} \bigg|^{1\leftrightarrow 2} &= \frac{g^2}{16\pi p^3 \omega^2 (p-\omega)^2} \left[1\pm n(\omega)\right] \left[1\pm n(p-\omega)\right] \\ &\times P^a_{bc}(z) \int \frac{d^2 h}{(2\pi)^2} 2\mathbf{h} \cdot \operatorname{ReF}(\mathbf{h},p,\omega) \\ P^a_{bc}(z) &= \begin{cases} C_F \frac{1+(1-z)^2}{z}, & q \to gq \\ \\ C_A \frac{1+z^4+(1-z)^4}{z(1-z)}, & g \to gg \\ \\ \frac{d_F C_F}{d_A} \left[z^2+(1-z)^2\right], & g \to q\bar{q} \end{cases} \end{split}$$

$$2\mathbf{h} = i\delta E(\mathbf{h}, p, k)\mathbf{F}(\mathbf{h}) + g_s^2 \int \frac{d^2\mathbf{q}_\perp}{(2\pi)^2} \mathcal{C}(\mathbf{q}_\perp) \left\{ (C_s - C_A/2) \left[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} - k\mathbf{q}_\perp) \right] + (C_A/2) \left[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} - p\mathbf{q}_\perp) \right] + (C_s - C_A/2) \left[\mathbf{F}(\mathbf{h}) - \mathbf{F}(\mathbf{h} - (p - k)\mathbf{q}_\perp) \right] \right\}.$$

Hard-soft factorization of parton energy loss

Weakly-coupled effective kinetic formalism

Leading-order realizations (e.g. MARTINI):

$$(\partial_t + \vec{v} \cdot \nabla_x) f^a(\vec{p}, \vec{x}, t) = -\mathsf{C}_a^{2 \leftrightarrow 2}[f] - \mathsf{C}_a^{1 \leftrightarrow 2}[f]$$

Hard-soft factorization:

$$C_{a}^{2\leftrightarrow 2} + C_{a}^{1\leftrightarrow 2}$$

= $C_{a}^{\text{large-angle}}(\mu_{\tilde{q}_{\perp}}, \Lambda) + C_{a}^{\text{split}}(\Lambda) + C_{a}^{\text{large-}\varpi}(\mu_{\varpi}) + C_{a}^{\text{diff}}(\mu_{\tilde{q}_{\perp}}, \mu_{\varpi})$

Elastic interactions



Interactions with the medium:

- Large number of soft interactions
- Rare hard scatterings

Inelastic interactions



Identity Non-preserving Soft Interactions - Soft Conversion



- Hard conversion is considered in rate-based approach
- Soft elastic conversion: soft fermion exchange
- Change parton identity by conversion rate
- $\circ~$ Suppressed by T/p, include identity exchange but neglect energy loss



Hard-soft cutoff dependence of energy distribution



 $\circ \ \mathcal{C}_{soft} + \mathcal{C}_{hard} \qquad \circ \text{ vacuum matrix elements for } \mathcal{C}_{hard}^{2\leftrightarrow 2}$

QGP medium, $\alpha_s = 0.005$, T = 300 MeV, E₀ = 100 GeV, time t = $(0.3/\alpha_s)^2$ fm/c



Parton energy distribution is independent on the hard-soft cutoffs.

03/29/2023

Steffen A. Bass (Duke University)

In-medium gluon energy cascade

Successive medium induced quasi-democratic emissions

- \Rightarrow accumulation of gluons at thermal energy
- \Rightarrow a power-law scaling in the small energy region



Blaizot, Iancu, Mehtar-Tani. 2013

Assumptions:

- independent successive branchings
- approximate inelastic differential rate valid in deep LPM region

$$\frac{\mathrm{d}\Gamma}{\mathrm{d}z}\Big|_{\mathrm{g}\leftrightarrow\mathrm{gg}} = \frac{\alpha_{\mathrm{s}}N_{\mathrm{c}}}{\pi} \frac{1}{[z(1-z)]^{3/2}} \sqrt{\frac{\hat{q}_{\mathrm{eff}}}{p}}$$



In-medium gluon energy cascade - numerical comparison





Analytical: Blaizot, Iancu, Mehtar-Tani. 2013 Numerical: Dai, Paquet, Teaney, Bass. 2022

Analytical distribution of gluons:

$$\begin{split} & \times \frac{dN}{dx} = \frac{\tau}{\sqrt{x}(1-x)^{3/2}} e^{-\pi [\tau^2/(1-x)]} \\ & \text{where } x \equiv \omega/\mathsf{E}_0 \text{ and } \tau \equiv \frac{\alpha_{\mathsf{s}}\mathsf{N}_{\mathsf{c}}}{\pi} \sqrt{\frac{\hat{\mathsf{q}}}{\mathsf{E}}} \mathsf{t}. \end{split}$$

 \circ In the small-x region, xdN/dx scales as $1/\sqrt{x}.$

• The analytical solution is wellreproduced by the full QCD numerical model.

In-medium fermion number cascade - analytical approximation



In the small-x and large- τ region, the quark to gluon ratio of the soft fragments:

$$\begin{split} \frac{Q}{2N_f G} &= \frac{1}{2N_f} \frac{\int_0^1 dz z \mathcal{K}_{qg}(z)}{\int_0^1 dz z \mathcal{K}_{gq}(z)} \approx 0.07 \\ G &\equiv \sqrt{x} D_g(x) = x^{3/2} dN_g/dx \\ Q &\equiv \sqrt{x} \sum_{i=1}^{N_F} \left(D_{q_i} + D_{\bar{q}_i} \right) \\ \mathcal{K}_{qg}, \ \mathcal{K}_{gq}: \ \text{the splitting function of} \\ g &\to q\bar{q} \ \text{and} \ q \to gq \end{split}$$



Mehtar-Tani and Schlichting. 2018

In-medium fermion number cascade - numerical comparison





$$C^{1\leftrightarrow 2}$$
 only, N_f = 3, $\alpha_s = 0.3$
T = 300 MeV, p₀ = 10 TeV.

$$\frac{D_{\text{S}}}{2N_{\text{f}}D_{\text{g}}} = \frac{1}{2N_{\text{f}}} \frac{\int_{0}^{1} dz z \mathcal{K}_{\text{qg}}(z)}{\int_{0}^{1} dz z \mathcal{K}_{\text{gq}}(z)} \approx 0.07$$

In the numerical calculation, the quark to gluon ratio also reaches to the same constant constraint, for either gluon jet or fermion jet.

Proton-proton baseline

JETSCAPE PP19 tune

- o Initial collisions: PYTHIA
- o Parton evolution in vacuum: MATTER in vacuum





PbPb RAA with different Q0



Sampling in parameter space

How to distribute points in parameter space for optimal emulator performance?

Factorial (uniform grid)



Random

Required number of points grows *exponentially* — not viable in high dimensions

Tends to create large gaps and tight clusters

Latin hypercube



- Semi-random, space-filling
- Required number of points grows *linearly*
- "Efficient scaffolding" for emulator

Principal component analysis of model outputs

PCA to reduce high dimensional output space:

- o Multi-dimensional of the model outputs.
- o Gaussian process generates 1-d output.
- o Model outputs are highly-correlated.
- o Model uncertainties is uncorrelated.





SVD decomposition of the model outputs.

$$\tilde{Y}_{n \times m} = U_{n \times n} S_{n \times m} V_{m \times m}^T$$

Diagonal of S encodes PC's varaince.

Cumulative variance to estimate how much information is preserved by the first few PCs.

Posterior of model parameters

Markov chain Monte Carlo (MCMC) to sample the posterior of the parameter:

- The sampler performs weighted random walk in the parameter space.
- At each state x_t , a new position x_{new} is sampled.
- The acceptance rate of x_{new} is

$$r(x_t, x_{new}) = \min\left(1, \frac{\mathcal{L}(y|x_{new})P'(x_{new})}{\mathcal{L}(y|x_t)P'(x_t)}\right).$$

• After the "burn-in" steps, the distribution of the accepted samples is the posterior distribution.



Gaussian process emulator





A non-parametric regressor to fast-predict model outputs:

- A model of infinite-dimensional multivariate normal distributions.
- Require minimal assumption about the model.
- o Emulate a distribution over functions.

Model output:

$$y \sim \mathcal{N}(\mu(x), \Sigma(x, x')),$$

$$\operatorname{cov}(y_i, y_j) = \exp\left(-\frac{|x_i - x_j|^2}{2l^2}\right) + \sigma_n^2 \delta_{ij}$$

Validation of Gaussian process emulator





Emulator works well.

Relative difference between the model calculation and the emulator prediction is normally distributed.



Validation of Gaussian process emulator



- o Choose a validation point.
- Calculate R_{AA} on the validation point in different collision systems.
- Train the Gaussian process emulator using the training data.
- Validate the trained emulator on the validation point.
- The emulator well predict the model outputs.



Bayesian inference



Estimate the probability distribution of the model parameters given the experimental observations based on Bayes' theorem:

$$P(x|\mathcal{D}) = \frac{\mathcal{L}(\mathcal{D}|x)p(x)}{\int \mathcal{L}(\mathcal{D}|x)dx} \propto \mathcal{L}(\mathcal{D}|x)P(x)$$

assuming a uniform distributed prior.

Given the normally distributed model uncertainty, the likelihood function is written as:

$$\mathcal{L}(y = y_{exp}|x) = \frac{1}{\sqrt{2\pi\sigma^2}} \exp\left[-\frac{1}{2} \frac{(f(x) - y_{exp})^2}{\sigma_M^2 + \sigma_{exp}^2}\right]$$

Estimate the true parameter value:

- o Maximum likelihood estimation (MLE)
- o Maximum A Poseriori (MAP)



Closure test - posterior

Closure test:

- o Assume a set of parameters as "true values".
- o Calculate "constructed data" using "true values".
- Perform Bayesian analysis on "constructed data" to evaluate the performance of the Bayesian analysis.

The posterior distribution constrained using Bayesian model-to-data comparison:

- $\circ R_{AA}$ is not sensitive to β_{\perp} : no constrain on β_{\perp} .
- \circ β_{\parallel} correlated to T^* : weaker constrain, need more comparison to evaluate.
- \tilde{Q}_0 and $\alpha_{s,hard}^{inel}$ are well-constrained around "true values".



03/29/2023

Closure test – observables emulation





Observables emulated using posterior parameters are close to "constructed data".

Closure test - posterior



Apply posterior of β_{\parallel} and T^* to calculate \hat{q}_L : well-constrained around pQCD values.

$$\hat{q}_L\left(\beta_{\parallel}, T^*\right) = \hat{q}_{\mathrm{L, pQCD}}\left(1 + \beta_{\parallel} \frac{\Lambda_{QCD}}{T}\right)$$



Model-to-data comparison performs well.